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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

VITERI, Fermin

Serial No.:

10/716,004

Filed:

11/17/2003

For:

Low Pollution Power Generation

System with Ion Transfer Membrane

Air Separation

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§1.97 and 1.98, the undersigned requests that the information contained herein be considered by the Office.

REMARKS

The information to be considered includes: a form PTO-1449 listing references and a copy of the listed references, in accordance with 37 C.F.R. §1.98. The information contained herein does not constitute any admission that any of the references included herein qualify as prior art, or any admission of any dates of conception, dates of reduction to practice, or presence or absence of diligence in reducing the invention to practice. Applicant reserves the right to challenge the prior art status of these references.

This document is filed before a first Office action on the merits of this case, and hence should be considered as timely filed. Should the examiner have any questions upon his review of the above information, the undersigned requests that the examiner contact the undersigned by phone.

Respectfully Submitted:

Bradley P. Heisler Applicant's Attorney Telephone (916) 781-6634 Registration No.: 35,892

Information Disclosure Statement, Serial No. 10/716,004, Page 2



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PTO/SB/21 (6-98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(Application Number	10/716,004
TRANSMITTAL	Filing Date	11/17/2003
FORM	First Named Inventor	VITERI, Fermin
(to be used for all correspondence after initial filing)	Group Art Unit	3746
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	0145.001
ENCLOS	SURES (check all that app	olv)
Fee Iransmittal Form Fee Attached Drawing Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement (for an A (for an A) Petition Provision Change Address Termina Small E	ng-related Papers Routing Slip (PTO/SB/69) companying Petition to Convert to a onal Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below) return postcard
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SIGNATURE OF APPLI	CANT, ATTORNEY, OR A	AGENT
Firm or Bradley P. Heisler Individual name		
Signature B		
Date 7-29-04		
CERTIFIC	ATE OF MAILING	
I hereby certify that this correspondence is being depo- envelope addressed to: Assistant Commissioner for Pa	sited with the United States Fatents, Washington, D.C. 202	Postal Service as first class mail in an 31 on this date 37/29/2004
Typed or printed name Carmen McCarty		
Signature Cammon McCa.	/+/\ Date	046, 29,2004

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Complete if Known Substitute for form 1449A/PTO 10/716,004 **Application Number** INFORMATION DISCLOSURE 11/17/2003 Filing Date STATEMENT BY APPLICANT VITERI, Fermin First Named Inventor Group Art Unit 3746 (use as many sheets as necessary) **Examiner Name** Attorney Docket Number of ,0145.001 Sheet 16

			U.S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	U.S. Patent Documen Kind Co	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		864,017	Miller	08-20-1907	
		886,274	Tate	04-28-1908	
		1,013,907	Taylor	01-09-1912	
		1,227,275	Kraus	05-22-1917	
		1,372,121	Davis	03-22-1921	
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				FOR	EIGN PATENT DOCUMENTS	S		
Examiner	Cite		Foreign Patent Docu		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6
		GB	6394		Smith	05-28-1898		
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		GB	271,706		Schmid	05-26-1927		
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		DE	3,512,947		Ramsaier	10-16-1986		1

Examiner	Date
Signature	Considered
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	16

Complete if Known						
Application Number	10/716,004					
Filing Date	11/17/2003					
First Named Inventor	VITERI, Fermin					
Group Art Unit	3746					
Examiner Name						
Attorney Docket Number	,0145.001					

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Examiner Initials*	Cite No.1	Office ³	Foreign Patent Docum Number ⁴	ent Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		EP	0413199		Siemens	02-20-1991		
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		EP	06318464		Hiroshi	11-15-1994		⊥_
		EP	0634562		Scharpf	01-18-1995		\perp
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		บร	WO 99/22127		Beichel	05-06-1999		
		us	WO 99/63210		Ursin	12-09-1999		

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INFO	DRMATION	N DISCL	.OSURE	Filing Date	11/17/2003		
STA	TEMENT	BY APP	LICANT	First Named Inventor	VITERI, Fermin		
				Group Art Unit	3746		
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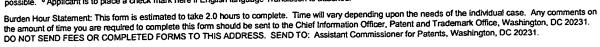
	U.S. PATENT DOCUMENTS									
Examiner	Cite	U.S. Patent	Document Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Initials*	No.1	Number	(if known)	or oned Document	MM-DD-YYYY	Figures Appear				
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Examiner	Cite		Foreign Patent Docum		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY		T6
		EP	2001015134		Yasuaki	01-19-2001		\perp
		EP	2001085036		Tadashi	03-30-2001		
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				Application Number	10/716,004		
INFOR	RMATIO	N DISCL	LOSURE	Filing Date	11/17/2003		
STATE	EMENT.	BY APP	PLICANT	First Named Inventor	VITERI, Fermin		
				Group Art Unit	3746		
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Sheet	4	of	16	Attorney Docket Number	,0145.001		

	U.S. PATENT DOCUMENTS									
Examiner Initials	Cite No.1	U.S. Patent Document Number Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
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Examiner Initials	Cite No. ¹	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	т					
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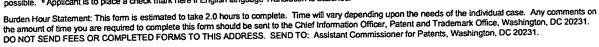
Complete if Known Substitute for form 1449A/PTO 10/716,004 **Application Number** INFORMATION DISCLOSURE 11/17/2003 Filing Date STATEMENT BY APPLICANT First Named Inventor VITERI, Fermin Group Art Unit 3746 (use as many sheets as necessary) **Examiner Name** Attorney Docket Number ,0145.001 of Sheet

	U.S. PATENT DOCUMENTS										
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		3,862,624		Underwood	01-28-1975						
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		4,199,327		Hempill	04-22-1980						
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Sheet	6	of	16	Attorney Docket Number	,0145.001		

	U.S. PATENT DOCUMENTS Pages Columns Lines									
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-		4,273,743	Barber	06-16-1981						
		4,297,841	Cheng	11-03-1981						
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		F	oreign Patent Doo		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
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Sheet

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5,055,030

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10-08-1991

12-03-1991

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Examiner Initials	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,674,463	Duckworth	06-23-1987	
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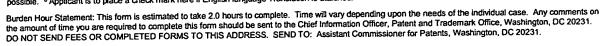
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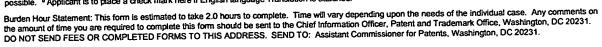
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STA	TEMENT BY	' APF	LICANT	First Named Inventor	VITERI, Fermin	
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STA	TEMENT	BY AP	PLICANT	First Named Inventor	VITERI, Fermin	
				Group Art Unit	3746	
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Sheet	9	of	16	Attorney Docket Number	,0145.001	

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Examiner Initials*	Cite No.1	U.S. Patent Document Number Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Group Art Unit	3746	
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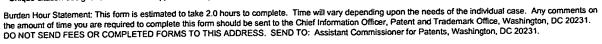
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STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	VITERI, Fermin	
				Group Art Unit	3746	
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Sheet	14	of	16	Attorney Docket Number	,0145.001	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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STATEMENT BY APPLICANT (use as many sheets as necessary)				Group Art Unit	3746	
				Examiner Name		
Sheet	16	of	16	Attorney Docket Number	,0145.001	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
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